



**EXHIBIT A**

**FILE**  
(65)

## UNITED STATES PATENT AND TRADEMARK OFFICE

COMMISSIONER FOR PATENTS  
UNITED STATES PATENT AND TRADEMARK OFFICE  
WASHINGTON, D.C. 20231  
www.uspto.gov

APPLICATION NUMBER	FILING DATE	GRP ART UNIT	FIL FEE REC'D	ATTY.DOCKET.NO	DRAWINGS	TOT CLAIMS	IND CLAIMS
09/829,073	04/09/2001	1651	444	-#651-	12	21	5

113019.179US2

CONFIRMATION NO. 7413

Albert Wai-Kit Chan or Mark Elkins  
59-42 Parsons Blvd.  
Flushing, NY 11365-1433



### FILING RECEIPT



\*OC00000006181142\*

Date Mailed: 06/14/2001

Receipt is acknowledged of this nonprovisional Patent Application. It will be considered in its order and you will be notified as to the results of the examination. Be sure to provide the U.S. APPLICATION NUMBER, FILING DATE, NAME OF APPLICANT, and TITLE OF INVENTION when inquiring about this application. Fees transmitted by check or draft are subject to collection. Please verify the accuracy of the data presented on this receipt. If an error is noted on this Filing Receipt, please write to the Office of Initial Patent Examination's Customer Service Center. Please provide a copy of this Filing Receipt with the changes noted thereon. If you received a "Notice to File Missing Parts" for this application, please submit any corrections to this Filing Receipt with your reply to the Notice. When the USPTO processes the reply to the Notice, the USPTO will generate another Filing Receipt incorporating the requested corrections (if appropriate).

### Applicant(s)

Ke-Wen Dong, Chesapeake, VA;  
Sergio C. Oehninger, Norfolk, VA;  
William E. Gibbons, Norfolk, VA;

Domestic Priority data as claimed by applicant This application is a CIP of 09/252,828

~~THIS APPN~~ CLAMS BENEFIT OF 60/075,079 02/19/1998

02/19/1998

Which

### Foreign Applications

If Required, Foreign Filing License Granted 06/13/2001

Projected Publication Date: 09/20/2001

Non-Publication Request: No

Early Publication Request: No

\*\* SMALL ENTITY \*\*

### Title

Human zona pellucida protein 3 and uses thereof

Preliminary Class

435

---

Data entry by : TRUONG, T

Team : OIPE

Date: 06/14/2001





## EXHIBIT B

RECEIVED

DEC 24 2003

TECH CENTER 1600/2900

Serial No. 09/829,073



Application Data Sheet

**Application Information**

Application Number:: 09/829,073  
Filing Date:: April 9, 2001  
Application Type:: Continuation-In-Part  
Subject Matter:: Utility  
CD-ROM or CD-R:: None  
Title:: HUMAN ZONA PELLUCIDA PROTEIN 3 AND  
USES THEREOF  
Attorney Docket Number:: 113019.179US2  
Request for Early Publication?:: No  
Request for Non Publication?:: No  
Total Drawing Sheets: 12  
Small Entity?:: Yes  
Petition Included?:: No  
Secrecy Order in Parent Application?:: No

**RECEIVED**

DEC 24 2003

TECH CENTER 1600/2900

**Applicant Information**

Applicant Authority Type:: Inventor  
Primary Citizenship Country:: United States  
Status:: Full Capacity  
Given Name:: Ke-Wen  
Family Name:: Dong  
City of Residence:: Chesapeake  
State or Province of Residence:: Virginia  
Country of Residence:: United States  
Street of mailing address:: 1115 Plantation Lakes Circle  
City of mailing address:: Chesapeake  
State or Province of mailing address:: Virginia  
Country of mailing address:: United States  
Postal or Zip Code of mailing address:: 23320

Applicant Authority Type:: Inventor  
Primary Citizenship Country:: United States  
Status:: Full Capacity  
Given Name:: Sergio  
Middle Name:: C.  
Family Name:: Oehninger  
City of Residence:: Norfolk  
State or Province of Residence:: Virginia  
Country of Residence:: United States  
Street of mailing address:: 6823 Gardner Drive  
City of mailing address:: Norfolk  
State or Province of mailing address:: Virginia  
Country of mailing address:: United States  
Postal or Zip Code of mailing address:: 23518

Applicant Authority Type:: Inventor  
Primary Citizenship Country:: United States  
Status:: Full Capacity  
Given Name:: William  
Middle Name:: E.  
Family Name:: Gibbons  
City of Residence:: Norfolk  
State or Province of Residence:: Virginia  
Country of Residence:: United States  
Street of mailing address:: 1413 South Veaux Loop  
City of mailing address:: Norfolk  
State or Province of mailing address:: Virginia  
Country of mailing address:: United States  
Postal or Zip Code of mailing address:: 23509

Serial No. 09/829,073

### Correspondence Information

Correspondence Customer Number:: 24395  
Phone number:: 202-942-8400  
Fax number:: 202-942-8484

### Representative Information

Representative Customer Number::	24395	

### Domestic Priority Information

Application::	Continuity Type::	Parent Application::	Parent Filing Date ::
This application	Continuation-in-part	09/252,828	February 19, 1999
09/252,828	Non-provisional	60/075,079	February 19, 1998

### Assignment Information

Assignee Name:: Eastern Virginia Medical School  
Street of mailing address:: 825 Fairfax Avenue  
Street of mailing address:: P.O. Box 1980  
City of mailing address:: Norfolk  
State or Province of mailing address:: Virginia  
Country of mailing address:: United States  
Postal or Zip Code of mailing address:: 23501-6006